

KASCD

БАҒАЛЫ КАҒАЗДАР  
ОРТАЛЫҚ  
ДЕПОЗИТАРИЙ

ЦЕНТРАЛЬНЫЙ  
ДЕПОЗИТАРИЙ  
ЦЕННЫХ БУМАГ

CENTRAL  
SECURITIES  
DEPOSITORY

June 2020

- Initial state registration date: 18 July 1997
- KACD provides nominee holding services to other nominee holders of securities, including foreign depositories and custodians.
- KACD is an authorized depository of the National Bank of the Republic of Kazakhstan, the Ministry of Finance of the Republic of Kazakhstan, and municipal executive authorities.
- KACD opens and maintains bank accounts for settlement of financial instrument transactions, payment of interest or redemptions, or other money transfers in cases provided for by the KACD's Code of Rules.

**KACD:**

- is a paying agent of the National Bank of the Republic of Kazakhstan, the Ministry of Finance of the Republic of Kazakhstan, and municipal executive authorities, and also provides paying agent services to corporate issuers of securities held by KACD as nominee holder;
- transfers money to securities holders (interest or redemption proceeds) for securities issued in accordance with the laws of foreign jurisdictions;
- is the National Numbering Agency for the Republic of Kazakhstan;
- provides register keeping services (including registration of transactions and confirmation of rights to securities or ownership interests) to joint-stock companies, limited liability partnerships, securities holders, and partners in limited liability partnerships.

KACD is a member of:

- Association of Eurasian Central Securities Depositories (AECSD)
- Association of National Numbering Agencies (ANNA)
- International Association of Exchanges of CIS Countries (MAB CIS)
- The Asia-Pacific Central Securities Depository Group (ACG)
- International Organization of Securities Commissions (IOSCO)
- KACD is an affiliate member of IOSCO. IOSCO is the international body that brings together the world's securities regulators.

### Launch of registrar business.

On 1 January 2019, KACD was reorganized by way of takeover of The Integrated Securities Registrar JSC.

KACD is responsible for **holding unclaimed money** where the issuer or the system of securities holder registers does not have up-to-date details of a shareholder.

The following web apps have been designed and deployed:

"Doc.KACD"

"Issuer"

"Securities Holder"

IT systems were upgraded following the implementation of the **KASE ASTS+ Trading & Clearing System**.

An OTC quotation system was put into operation.

In 2019, KACD took part in the following securities market-related events of international bodies and organizations:

- Euroclear Bank's seminars "EasyWay Modules for Asset Servicing, Settlement, Depot Management and Cash" and "Settlement Service, Income Processing and Market Claims"
- Meeting of Kazakh and Russian professional forex, money and securities market participants (Moscow, Russian Federation)
- World Forum of CSDs Conference (Marrakesh, Morocco)
- KASE Day in Moscow (Moscow, Russian Federation)

- Meeting of the EEC Advisory Committee on Financial Markets (Moscow, Russian Federation)
- Joint Conference of the AECSD and the International Association of Exchanges "Integration Processes on Financial Markets: Eurasia and Global Economy" (Bishkek, Kyrgyz Republic)
- Mid-Year Meeting and 12th Training Seminar of the IOSCO Affiliate Members Consultative Committee (Madrid, Spain)
- Seminar for the AECSD member CSDs (Dushanbe, Republic of Tajikistan)

**Receive a license for opening and maintaining bank accounts for individuals**

**Design a new version of the IT system "Clearing House"**

**Design a "Nominee Holder" module for the "User Account" system, and a web-service module for the KASE ASTS+ trading system for the securities market (release 2)**

**Continue working on developing and launching a new web site**



Thank you for your attention!

28, microdistrict Samal-1  
050051 Almaty, Kazakhstan

**Tel.:** +7 (727) 355-47-60

**E-mail:**

[ccmail@kacd.kz](mailto:ccmail@kacd.kz), [csd@kasd.kz](mailto:csd@kasd.kz)

**[www.kacd.kz](http://www.kacd.kz)**