NSDL Profile

AECSD Annual Training Seminar, June 2020

Mr. Ravindra Sahu
Assistant Vice President
About NSDL
Legal Framework

- Depositories Act, 1996
- SEBI (Depositories & Participants) Regulations, 2018
- NSDL Bye Laws
- Business Rules
Shareholding

- Private/Other Institutions
- Insurance Comp
- Brokers
- Banks
- Clearing Venue
- SE/Trading Venues
- Central Bank
- Other Govt.bodies
- Federal/National Govt.

- Participant of CSD
- Non-Participant of CSD

- 39.21%
- 60.79%

- Participant of CSD
- Non-Participant of CSD
Shareholders

Promoters

Public-sector Banks
1. IDBI Bank Ltd.
2. NSE
3. SUUTI
   i. Canara Bank
   ii. Bank of Baroda
   iii. State Bank of India
   iv. Union Bank of India

Private-sector Banks
i. Axis Bank
ii. HDFC Bank
iii. Kotak Mahindra Bank

Foreign Banks
i. Citibank N.A.
ii. Deutsche Bank A.G.
iii. HSBC
iv. Standard Chartered Bank

Others
i. Kotak Mahindra Life Insurance
ii. IIFL Special Opportunities Fund
iii. India Alternatives Private Equity Trust - IAPE Fund II
iv. IIFL Special Opportunities Fund - Series 4
v. IIFL Special Opportunities Fund - Series 5
vi. IIFL Special Opportunities Fund - Series 2
vii. IIFL Special Opportunities Fund - Series 3
Governance

Committees of NSDL at Board Level

- Audit Committee
- Nomination & Remuneration Committee
- Corporate Social Responsibility (CSR) Committee
- Standing Committee on Technology
- Risk Management Committee
- Stakeholders Relationship Committee
- Pricing Committee
- Regulatory Oversight Committee
- Member Committee
- Grievance Redressal Committee
- Advisory Committee

Other Committees

- IT Advisory Committee
Account Structure

- Principal Account Structure: Beneficial Owner
- India has opted for segregated account structure as compared to omnibus structure
- Accounts of all investors are opened and maintained in CSD
- Information of holding and transaction of an investor are maintained at account level at CSD.
- All rights on the securities held in the account are vested to the person in whose name account is opened.
Asset Types

- Equities
- Mutual Funds
- Corporate Debt
- Government Securities
- Commercial Paper
- Certificate of Deposit
- Pass Through Certificates
- Securitised Instruments
Core Services

- Settlement of trades
- Pledge
- Dematerialisation/Rematerialisation
- Client Account Maintenance
- Non-Cash Corporate Actions
Value-added Services

Targeted at Investors
- CAS
- IDEAS
- Trades
- ACE
- SPEEDe
- Mobile App
- Digital LAS
- Trade Repository

Targeted at brokers/institutional clients
- SteADY
- FPI portal
- SPEEDe
- SPICE
- Corporate Bond Database
- Collateral Management Service

Targeted at Issuers
- eVoting
- eNotices
- Webcasting
- Foreign Investment Limit Monitoring
- System Driven Disclosures
- MCA - IEPF Account

Targeted at Participants
- DIS Management System
## NSDL at Glance

<table>
<thead>
<tr>
<th>Category</th>
<th>Details</th>
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<tbody>
<tr>
<td>Number of Accounts</td>
<td>19.7 Million</td>
</tr>
<tr>
<td>Number of Issuer</td>
<td>88,659</td>
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<tr>
<td>Demat Custody Quantity</td>
<td>2.355 Trillion</td>
</tr>
<tr>
<td>Value of dematerialized Securities</td>
<td>US$ 2.524 Trillion</td>
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<tr>
<td>Market Share in terms custody value</td>
<td>89%</td>
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| Settlement Value (single side) during April 2020 | US$ 39.03 Billion  
(£ 2.955 Trillion) | |
| DP Service Center                             | 30,948                                       |
| Cities/ Towns                                 | 1,929                                        |
| Spread of Demat Account                       | 99% of all pin-codes in the country          |
New Developments

- Investor Protection measure
  - TTCA to pledge and re-pledge
  - Paid and Unpaid securities guidelines
  - UCC mapping with demat account

- Ease of doing business
  - Online on boarding of Client

- Regulatory changes
  - Stamp duty collection by CSD
International Activities

• Member of ACG and AECSD
• Associate member of AMEDA
• Convenor of Technical Task Force of ACG
• Managing the World CSD Fact Book project
NDML Projects

- SEZ Online
- National Payment Services Platform (PAYGOV INDIA)
- National Skills Registry (NSR)
- KYC Registration Agency (KRA)
- National Insurance Policy Repository (NIR)
- National Academic Depository (NAD)
- Share Registrar and Transfer Agent
NSDL Payment Bank

• NSDL Payments Bank commenced its operations in October, 2018

• This is an initiative to provide simplified banking services to every Indian
NSDL e-Governance Infrastructure Ltd (NSDL eGov) Projects

• Tax Information Network (TIN)
• Central Record keeping Agency (CRA)
• Electronic Accounting System in Excise and Service Tax (EASIEST)
• Unique Identification (UID/Aadhaar) - Registrar
• Aadhaar Authentication & e-KYC Services
• Goods and Service Tax (GST)
• National Judicial Reference System (NJRS)
• Ezee Will
THANK YOU