NSDL Facts & Figures

- NSDL Active Accounts: 23.3 Million
  - A new demat account is opened in every 4 seconds

- No of issuers: 35,549

- The Asset value of securities under NSDL’s custody has crossed 3.94 Trillion USD which is almost equal to the GDP of India.

- Market share of 90% of total custody value, 97% in debt securities and over 99% in terms of Foreign Portfolio Investment in India.

- NSDL has clients in PIN codes (around 99% of all PIN codes) covering all states and Union territories in the country.
Offerings for Investors

- STeADY
- e-Services
- CAS
- FILM
- FPI
- e-Notices
NSDL e-Services

SPEED-e
• NSDL's common internet facility called SPEED-e facilitates users to submit delivery instructions on the internet

IDeAS
• Simpler, Faster and Access your Demat Account Anytime, Anywhere through internet for viewing transaction and holding statement

Mobile APP
• Access your Demat Account Holding Anytime, Anywhere on NSDL Mobile Application.
• Android based mobile phones having OS version 3.1 or higher
• App Store for IOS based mobile phones having IOS version 6.0 or higher

NSDL CAS
• NSDL CAS is a single account statement consisting of transactions and holdings in investor’s demat account as well as in units of Mutual funds held in Statement of account (SOA) form.
• The Statement enables investors to have a consolidated view of his/her financial assets and provides an insight of his/her portfolio across various asset classes.

FPI Monitor
• Centralized Registration portal for Foreign Portfolio Investors.
NSDL CAS Highlights

- Consolidated view of Financial Assets
- Insight of portfolio across various asset classes
- Enriched experience of managing portfolio effectively
- Rich analysis of portfolio for better decision making and simplified monitoring
- Interactive, Dynamic, Graphic and detailed view of the statement

PORTFOLIO COMPOSITION

<table>
<thead>
<tr>
<th>ASSET CLASS</th>
<th>Value in ₹</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equities (E)</td>
<td>41,34,18,534.80</td>
<td>58.39%</td>
</tr>
<tr>
<td>Preference Shares (P)</td>
<td>1,415.00</td>
<td>0.00%</td>
</tr>
<tr>
<td>Mutual Funds (M)</td>
<td>42,429.39</td>
<td>0.00%</td>
</tr>
<tr>
<td>Corporate Bonds (C)</td>
<td>14,85,79,700.00</td>
<td>20.58%</td>
</tr>
<tr>
<td>Money Market Instruments (I)</td>
<td>0.00</td>
<td>0.00%</td>
</tr>
<tr>
<td>Securitised Instruments (S)</td>
<td>0.00</td>
<td>0.00%</td>
</tr>
<tr>
<td>Government Securities (G)</td>
<td>0.00</td>
<td>0.00%</td>
</tr>
<tr>
<td>Postal Saving Scheme (O)</td>
<td>0.00</td>
<td>0.00%</td>
</tr>
<tr>
<td>Mutual Fund Folios (F)</td>
<td>14,59,79,936.65</td>
<td>20.61%</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>70,80,22,015.84</td>
<td></td>
</tr>
</tbody>
</table>

Summary of value of holdings of

<table>
<thead>
<tr>
<th>Account Type</th>
<th>Account Details</th>
<th>No. of Units/ Shares</th>
<th>Value in ₹</th>
</tr>
</thead>
<tbody>
<tr>
<td>NSDL Demat Account</td>
<td>IDBI BANK LIMITED (EP-0000000000)</td>
<td>5</td>
<td>8,079.60</td>
</tr>
<tr>
<td>NSDL Demat Account</td>
<td>IDBI BANK LIMITED (EP-0000000000)</td>
<td>7</td>
<td>8,087.80</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td>56,162.40</td>
</tr>
<tr>
<td>NSDL Demat Account</td>
<td>IDBI BANK LIMITED (EP-0000000000)</td>
<td>279</td>
<td>43,05,635.94</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td>43,05,635.94</td>
</tr>
</tbody>
</table>

Summary

₹ 71,23,84,815.18
NSDL has developed e-notices facility to facilitate companies to send the Annual Report/Notices/any other communications to its members on their e-mail IDs.

- Buy Back intimations to Investors
- Company De-listing intimations to Investors
- Interim / Final Dividend Intimation to Investors
Overview on Regulations:

- SEBI (Foreign Portfolio Investor) Regulations, 2014 came into effect on **January 7, 2014**
- SEBI issued Operational Guidelines for Designated Depository Participants on **January 8, 2014**
- A single route to foreign investors viz., FII, Sub Accounts & QFIs under portfolio investment scheme converging it to one class of investor viz., Foreign Portfolio Investor (FPI)
- No registration required by FPI at SEBI
- FPIs to register with Designated Depository Participant (DDP) who will carry out necessary due diligence.
- All existing FII, Sub Accounts & QFIs are deemed to be FPIs.
FPIs were classified into three categories based on type of entity and risk profile viz., Category I, Category II & Category III.

Designated Depository Participant (DDPs) were advised to carry out necessary due diligence and issue registration certificate to the FPI.

The FPI regime commenced in India on June 1, 2014.

NSDL was authorized by SEBI to generate a centralized FPI Registration Number and FPI Registration Certificate. In this regard, a Central system was developed by NSDL for registration of FPI.

NSDL is advised to monitor the investment limits of FPI investor group who have common beneficial ownership under the equity segment.

Further, NSDL has been monitoring the investment limits of erstwhile FIIs, Sub accounts and Qualified Foreign Investors (QFIs) under the Debt Segment.

As advised by SEBI NSDL has been disseminating various investments related reports pertaining to FPIs on its portal viz., FPI Monitor (www.fpi.nsdl.co.in).
Regulation

• As per FEMA Act, 1999, the onus of compliance with the various foreign investment limits rests on the Indian company.
• SEBI Circular dated April 05, 2018 for monitoring of Foreign Investment Limits in Listed Indian Companies.

Requirement

• To appoint a Designated Depository for the purpose of monitoring the foreign investment limit.
• Designated Depository to monitor the investment limits as per FEMA Regulations 2017:
  • FPI Limits (24%*), NRI Limits (10%*) and Sectoral Limits (as prescribed by Govt.).

Issuer Portal

• Register and capture requisite information on NSDL Issuer Services Portal.
• Facility to monitor aggregate NRI/FPI investment limits or the sectoral cap for a given company.
• Companies published on NSDL website - Red Flag and Breach List
• Available investment headroom as at the end of the day
NSDL, the first service provider introduced STP facility in December 2002. named STeADY (Securities Trading information easy Access and DeliverY)

SEBI made STP mandatory for the institutional segment w.e.f July 2003 viz., MFs, Insurance Companies, PMS, Banks etc.

Equity and F&O related trades are processed.

More than 2 million contract notes and 700 million trade details are exchanged on STeADY platform.

Extensively used by FPI trades.

Access to STeADY through digital signature certificate.

ISO file formats made compatible among service providers.

STP Navigator for seamless upload and download of files.
For more information on our services do visit: www.nsdl.co.in

NSDL e-Services portal https://eservices.nsdl.com/

For FPI Monitor: https://www.fpi.nsdl.co.in

NSDL e-Voting Services www.//issuer.nsdl.com

Toll Free No: 1800 222 990 / / 1800 224 430
THANK YOU