Specialized Depository Role in Development of Cross-Border Interactions
SPECIALIZED DEPOSITORY FUNCTIONS IN RUSSIA

Depositary Accounting and Custody

Property custody

Asset transaction accounting

Monitoring and coordinating transactions for disposal of property

Net asset valuation (NAV)

Maintaining registries of mutual funds' unit owners

Compilation of mandatory and optional reporting
Mutual and stock investment funds

Private pension funds
(retirement savings)

Mortgage cover managers
(control of mortgage cover property management)

Russian Pension Fund
(retirement savings)

Russian Military Mortgage
(Rosvoenipoteka, RVI)

National corporations

Insurance companies

Self-regulated organizations

Private pension funds
(retirement reserves)

Mutual and stock investment funds
1995

2000

2004

2007

2010

2015

SPECIALIZED DEPOSITORY AREA OF RESPONSIBILITY IN RUSSIA
SPECIALIZED DEPOSITORY ROLE IN CROSS-BORDER INTERACTIONS

Granting access to AECSD markets to specialist custodians' clients

Competence centers for granting foreign investors access to the Russian market

Participating in harmonization of financial laws

Incentivizing outsourcing development

Arranging efficient high-tech services for foreign investors

Reliable protection of investors' rights
FUND SERVICES:
- Establishing the Fund
- Fund Administrator

CUSTODY SERVICES:
- Custody Services in the CIS
- Safekeeping of Russian Securities
- Corporate Actions

CONSULTING:
- Management Company (MC) Incorporation
- Outsourcing
FINANCIAL SOLUTIONS BASED ON TECHNOLOGICAL PLATFORMS

OPPORTUNITIES TO ATTRACT CLIENTS FOR FINANCIAL PRODUCT VENDORS

OPPORTUNITIES TO PURCHASE FINANCIAL PRODUCTS FOR INVESTORS
• Attracting new investors 24/7 regardless of their geography
• Data verification and investor identification
• Quick start of sales for partner companies
• Resource savings
• Increasing client loyalty with user-friendly services

Financial solutions based on technological platforms

• Online purchases of any products present in the platform
• Complete control of entire investment information available in the personal account
• Reliability guaranteed by market leaders
INFORMATION AND RESEARCH CENTER ON THE SPECIALIZED DEPOSITORY BASIS

- INFORMATION AND RESEARCH NOT ONLY ON RUSSIAN BUT ALSO ON THE AECSD SPACE
- OPPORTUNITY TO DISSEMINATE INFORMATION AND RESEARCH AMONG CLIENTS
- ONE-STOP INFORMATION SERVICE FOR FOREIGN INVESTORS AND INFRASTRUCTURE ORGANIZATIONS WITHIN THE AECSD SPACE
INFORMATION SPECIALIZED DEPOSITORY ACCUMULATE

SECURITIES ISSUERS
- Information about issuers, including foreign ones:
  - Any information from issuers, including:
    - Identification of subsidiaries and dominant companies
    - Changes in the legal form
    - Changes in the composition of affiliated persons
    - Admitted defaults
- Issuance documents, parameters, methods of accrual of coupon income for securities, including foreign ones

FINANCIAL INFORMATION
- Daily composition and structure, value of units (including foreign ETF), as part of assets
- Own funds of brokers
- Monthly changes in financial indicators and events for credit institutions meeting regulatory requirements
- Estimated values, information on dividends, information from issuers included in client’s portfolio for investment of pension savings, funds of RVI

MONEY INTELLIGENCE
- Daily exchange trading results
- News on and daily changes to market financial indicators
- Listing of foreign securities at qualified exchanges
- Quotes, foreign exchange sales, data on dealer requests for determining liquidity of foreign securities

OTHER INFORMATION
- Changes in the credit ratings of banks, issuers, issues of securities
- The entry into force of changes in the pension investment funds’ rules, licenses, other mandatory information of the regulator
- Information on the bankruptcy of credit institutions and issuers
- Excerpts from the Unified State Register of Real Estate in respect of real estate facilities to monitor any changes in restrictions on the title to and encumbrances of a property
THANK YOU FOR YOUR ATTENTION!